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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Redu Application Number 10/053,931 **TRANSMITTAL** Filing Date January 22, 2002 **FORM** First Named Inventor Dana C. Mears Art Unit (to be used for all correspondence after initial filing) 3732 **Examiner Name** Michael B. Priddy Attorney Docket Number ZM0414B DIV1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer** Identify below): Extension of Time Request Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Form PTO1449 Copy of European Search Report Response to Missing Parts/ Foreign References Cites Return Receipt Postcard Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jonathan D. Feuchtwang, Reg. No. 41,017 Zimmer Technology, Inc. Individual name Signature Date ember 22, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name DeniseMoani Nelson Date November 22, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applies	HE LACE TO	Mears et al)	I hereby certify that	this paper is being deposited with the
Serial N	lo.:	10/053,931)	envelope addressed to	Service as FIRST-CLASS mail in an o: Commissioner for Patents, P.O. Box
Filed:		January 22, 2002)	1450, Alexandria, VA	1 22313-1450, on this date.
For:	PERFO INVAS	DD AND APPARATUS FOR RMING A MINIMALLY IVE TOTAL HIP COPLASTY))))	November 22, 2004 Date	Attorney for Applicants Registration No. 41,017
Art Uni	t:	3732)		

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Examiner:

This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

(a) a statement under 37 C.F.R. §1.97(e); or

Michael B. Priddy

(b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant n(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

- (X) Statement Under 37 C.F.R. §1.97(e):
 - (X) The enclosed references were cited in a Communication issued by the European Patent Office (copy enclosed). Applicant(s) hereby certify(ies) that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.
 - (X) Applicant(s) hereby certify(ies) that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- () Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 50-2779. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

150 North Wacker Drive – Suite 1200

Chicago, Illinois 60606 Telephone: (312) 372-2859 Facsimile: (312) 372-2906

Customer No. 37235

ZIMMER TECHNOLOGY, INC.

Jonathan D. Feuchtwang, Reg. No. 41, 017

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/053,931

Filing Date January 22, 2002

First Named Inventor Dana Mears

Art Unit 3732

Examiner Name Michael B. Priddy

Attorney Docket Number ZM0414 B DIV1

Examiner	Cite	Dogument Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (ff known)} MM-DD-YYYY		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		^{US-} 5,364,403	11/15/94	Petersen	Whole Document
		^{US-} 5,474,560	12/12/95	Rohr, Jr.	Whole Document
		^{US-} 2003/220698	10/31/01	Bristol-Myers Squibb	See Report
		^{US-} 2003/229356	12/11/03	Dye	Whole Document
		^{US-} 5,320,625	06/14/94	Bertin	See Report
		^{US-} 5,738,586	94.14.98	Jorge Arriaga	Whole Document
		^{US-} 5,720,750	02/24/98	H. Koller et al	See Report
		^{US-} 5,089,003	02/18/92	W. Fallin et al	Figs 1, 3, 4A-D
		^{US-} 5,190,550	03/02/93	G.J. Miller et al	Fig 4
		US-			

		FORE	IGN PATENT DOCI	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		EP1 149 562 A	10/31/01	Bristol-Myers	See Report	
		WO 03/065906 A	08/14/03	Chana	Figs 1-4	
		FR 1 041 311 A	10/22/53	Chevalier	See Report	
		EP0 380 309 A	08/01/90	Smith & Nephew	Figs 7, 10	
		EP0 956 824 A	11/17/99	Waldemar Link	Fig 2	
		FR 2 742 038 A	06/13/97	Landanger Lndos	See Report	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/053,931			
Filing Date	January 22, 2002			
First Named Inventor	Dana Mears			
Art Unit	3732			
Examiner Name	Michael B. Priddy			
Attorney Docket Number	ZM0414 B DIV1			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (3 known)}			Figures Appear
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Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ³ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	י
		FR2 721 192 A	12/22/95	Landanger-Lando	See Report	
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Examiner	Date	
Signature	Considered	

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